

29<sup>th</sup> October, 2020

<b>Listing Department</b> <b>National Stock Exchange of India Limited</b> "Exchange Plaza" Bandra Kurla Complex Bandra (E), Mumbai- 400051  <b>NSE SYMBOL - SPLIL</b>	<b>Listing Department</b> <b>BSE Limited</b> 1 <sup>st</sup> Floor, P.J. Towers, Dalal Street, Mumbai- 400001  <b>SCRIP- 532651</b>
--	--

**Subject- Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements, Regulation, 2015 for the half year ended 30<sup>th</sup> September, 2020**

Dear Sir/Madam,

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (M/s KFIN Technologies Private Limited) along with certificate issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, for the half year ended 30<sup>th</sup> September, 2020.


Kindly take it on record.

Thanking You

Truly Yours

For, **SPL Industries Limited**



  
Ashish Yadav  
(Company Secretary)

R:/KFIN/SPI/ REG40(9)  
DATE:10/10/2020

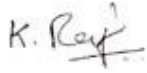
THE COMPANY SECRETARY  
SPL INDUSTRIES LIMITED  
202, IIND FLOOR  
VIKRAMADITYA TOWER  
ALAKNANDA MARKET  
KALKAJI, NEW DELHI  
110019

Dear Sir / Madam,

We hereby certify that during the past 6 months from 01.04.2020 to 30.09.2020 there were No transfers received for registration for the half year period ending 30th September 2020. You are requested to file the same immediately with Stock Exchanges where your Company's shares are listed. This certificate issued as per the "Regulation 40(9) of the SEBI (LODR) Regulation, 2015".

Thanking you,

For KFin Technologies Private Limited



Raj Kumar Kale  
Senior Manager

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649



**AGARWAL S. & ASSOCIATES**  
Company Secretaries

D-427, 2<sup>nd</sup> Floor, Palam Extn., Ramphal Chowk,  
Sector 7, Dwarka, New Delhi-110075  
Email Id: sachinag1981@gmail.com  
Phone: 011-45052182; Mobile: 9811549887

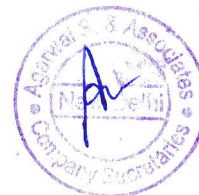
To,  
THE BOARD OF DIRECTORS  
SPL INDUSTRIES LIMITED  
OFFICE NO- 202, IIND FLOOR, VIKRAMADITYA TOWER  
ALAKNANDA MARKET, KALKAJI, DELHI - 110019

**Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the Half Year ended 30<sup>th</sup> September, 2020**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to "M/s. SPL Industries Limited" having its Registered Office at Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi 110019 maintained by "M/s. KFIN Technologies Private Limited", Registrar and Share Transfer Agents (RTA) of the Company, (duly appointed Share Transfer Agent & Registrar for physical work & electronic connectivity), pertaining to transfer of equity shares of the Company for the period from "1<sup>st</sup> April 2020 to 30<sup>th</sup> September, 2020" and based on the compliance certificate/information received from RTA, for the purpose of issuing a Certificate as per Clause 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during half year ended on 30<sup>th</sup> September, 2020:

- A) Share/Debenture Certificate(s) relating to the transfer of Shares/Debentures received during the period from "1<sup>st</sup> April 2020 to 30<sup>th</sup> September, 2020" as entered in the Memorandum of Transfers has been issued including the memorandum of objection in few cases, within stipulated time from respective date of lodgment: There were NIL requests received during half year ended on 30<sup>th</sup> September, 2020.
- B) Share Certificate(s) in respect of request(s) for issue of Duplicate/Split/Consolidation and Sub-division of equity share certificate(s) etc. during the period from "1<sup>st</sup> April 2020 to 30<sup>th</sup> September, 2020" have been issued within stipulated time as prescribed under Listing Regulations: There were NIL requests received during half year ended on 30<sup>th</sup> September, 2020.

FOR AGARWAL S. & ASSOCIATES  
Company Secretaries  
ICSI Unique Code: P2003DE049100



*Anuradha Jain*

CS Anuradha Jain  
Partner  
ACS 26639  
CP 14180

Place: New Delhi  
Date: October 20, 2020  
UDIN: A036639B001007686

ICSI-Unique Code : P2003DE049100

MSME Udyog Aadhaar Number: DL10E0008584