





To,  
The Board of Directors  
SPL Industries Limited  
Office No- 202, IInd Floor,  
Vikramaditya Tower  
Alaknanda Market,  
Kalkaji, Delhi - 110019

**Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 during the period 01.04.2023 to 31.03.2024**

We have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to “**M/s. SPL Industries Limited**” having its Registered Office at Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi 110019 maintained by KFin Technologies Limited {formerly known as Kfin Technologies Private Limited}, Registrar and Share Transfer Agent of the Company (RTA), for physical work & electronic connectivity, pertaining to transfer of equity shares and non- convertible debentures of the Company for the period ended on **31<sup>st</sup> March, 2024** and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) and Regulation 61 (4) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the year ended on 31.03.2024:

- A) The Company did not receive any request for transfer of shares.
- B) The Company did not receive any request(s) for issue of Certificate(s) in respect of Duplicate/Split/Consolidation and Sub-division/ Replacement/ Renewal/Exchange/Endorsement/ re-materialization of shares, as applicable under Listing Regulations.

**FOR AGARWAL S. & ASSOCIATES**

Company Secretaries  
ICSI Unique Code: P2003DE049100  
Peer Review Cert. No. 2725/2022

**GARIMA** Digitally signed by  
GARIMA GROVER  
**GROVER** Date: 2024.04.16  
15:07:03 +05'30'

CS Garima Grover  
Partner  
ACS 27100  
CP 23626  
UDIN: A027100F000139751

Place: New Delhi  
Date: 16.04.2024

R/KFin/AHN/REG 40(9)/Q4

Date: 13.04.2024

To

THE COMPANY SECRETARY  
SPL INDUSTRIES LIMITED  
202, IIND FLOOR  
VIKRAMADITYA TOWER  
ALAKNANDA MARKET  
KALKAJI, NEW DELHI  
110019.

Dear Sir / Madam,

Unit: SPL INDUSTRIES LIMITED

Sub: Regulation 40(9) of SEBI (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015.

We confirm the following.

1. Not received any valid share transfer request during the period from **April 01, 2023 to March 31, 2024.**
2. Not received any valid request for issue of deletion of name / transmission / duplicate / replacement / exchange / split of share / consolidation/ endorsement and re materialization of shares during the period from **1st April 2023 to 31st March 2024.**

Thanking You,

For KFin Technologies Limited

**KALE RAJ KUMAR** Digitally signed by KALE RAJ KUMAR  
Date: 2024.04.13 15:32:49 +05'30'

Raj Kumar Kale  
Asst. Vice President

**KFin Technologies Limited**

(Formerly known as KFin Technologies Private Limited)

**Registered & Corporate Office:**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda,  
Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

**CIN: L72400TG2017PLC117649**