

28th October, 2020

Listing Department
National Stock Exchange of India Limited
Exchange Plaza, Bandra- Kurla Complex,
Bandra (E), Mumbai 400051
NSE Symbol: SPLIL

Listing Department
BSE Limited
Phirozee Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai - 400 001
Scrip Code: 532651

Subject- Compliance Certificate u/r 76 of Securities and Exchange Board of India (Depositories and Participants) Regulation, 2018 for quarter ended 30th September, 2020

Dear Sir/Madam,

As required under Regulation 76 of the SEBI (Depositories and Participants) Regulation, 2018, we enclose here with certificate, issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, for the quarter ended 30th September, 2020, for your information and record.

Thanking You

Yours faithfully,

For, **SPL Industries Limited**




Ashish Yadav
(Company Secretary)

R:/KFIN/SPI/AUDIT/55A
DATE:11/10/2020

THE COMPANY SECRETARY
SPL INDUSTRIES LIMITED
202, IIND FLOOR
VIKRAMADITYA TOWER
ALAKNANDA MARKET
KALKAJI, NEW DELHI
110019

Dear Sir/Madam

This is in accordance with the circular No.d&cc/fittc/cir-16/2002 dated 31.12.2002 issued by Securities & Exchange Board of India advising Issuer/Listed Companies for a quarterly Reconciliation of share capital Audit. We confirm as follows for the quarter ended 30/09/2020.

1. That the Total issued capital of the company is 29000004 Equity Shares of Rs.10/- each, out of which:
 - a) 354 Shareholders representing 870612 Equity Shares constituting 3.00% of the subscribed and paid up capital are held in Physical Form.
 - b) 5156 Shareholders representing 25657457 Equity Shares constituting 88.47% of the subscribed and paid up capital are held in Electronic Form through NSDL.
 - c) 4390 Shareholders representing 2471935 Equity Shares constituting 8.52% of the subscribed and paid up capital are held in Electronic Form through CDSL.
2. We confirm that the register of members is being maintained in Electronic Form only and the same is up to date.
3. All the Dematerialisation requests received during the period under report were confirmed within 21 days.

Thanking You

Yours faithfully,
for KFIN TECHNOLOGIES PRIVATE LIMITED



Raj Kumar Kale
Senior Manager

KFin Technologies Private Limited

(Formerly known as "Karvy Fintech Private Limited")

Registered & Corporate Office

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649



RECONCILIATION OF SHARE CAPITAL AUDIT

1.	For the Quarter ended	30 TH September, 2020					
2.	ISIN No.	INE978G01016					
3.	Face Value	Equity Shares – Rs.10/- Each					
4.	Name of the Company	SPL Industries Limited					
5.	Registered Office Address	Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi - 110019					
6.	Correspondence Address	Plot No. 21 Sector 6, Faridabad – 121006, Haryana					
7.	Telephone & Fax No(s)	011-42427643; 0129-2306766					
8.	E-mail Address	cs@spllimited.com					
9.	Names of the Stock Exchanges where the Company's Securities listed	Equity Shares at National Stock Exchange of India Limited and BSE Limited					
			Number of Shares	% of Total Issued Capital			
10.	Issued Capital		2,90,00,004	100 %			
11.	Listed Capital (Exchange-wise) (as per Company records)						
	A) BSE Limited, Mumbai		2,90,00,004	100 %			
	B) National Stock Exchange of India Limited, Mumbai		2,90,00,004	100 %			
12.	Held in Dematerialized Form in CDSL		24,71,935	8.53%			
13.	Held in Dematerialized Form in NSDL		2,56,57,457	88.47%			
14.	Physical		8,70,612	3.00%			
15.	Total number of Shares (12+13+14)		2,90,00,004	100.00%			
16.	Reason for difference, if any, between (10 & 11), (10 & 15), (11 & 15)						
	Not Applicable						
17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below: Not Applicable						
	Particulars ***	No. of Shares	Applied / Not applied for listing	Listed on Stock Exchanges (specify names)	Whether intimated to CDSL	Whether intimated to NSDL	In-principle approval pending for SE (specify names)
	***Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify)						
18.	Register of Members is updated (Yes / No) If not, updated up to which date				YES		
19.	Reference of previous quarter with regards to				Not Applicable		

	excess dematerialized shares, if any.	
20.	Has the company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why?	Not Applicable
21.	Mentioned the total Number of requests, if any, confirmed after 21 days and the total Number of requests pending beyond 21 days with the reason for delay	
	Total Number of DEMAT Requests	No. of Requests
	No. of Shares	Reason for Delay
	Confirmed after 21 days	Nil
	Pending for more than 21 days	Nil
		Not Applicable
		Not Applicable
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	Ashish Yadav Company Secretary Ph: - 0129 - 4282375
23.	Name, Address, Telephone & Fax Number and Registration No. of the Auditor	CS Anuradha Jain, Partner Membership No. ACS 36639/CP No. 14180 Agarwal S. & Associates, Company Secretaries, D-427, 2 nd Floor, Palam Extn., Ramphal Chowk, Sector 7, Dwarka, New Delhi-110075 Telephone No.: 011-45052182
24.	Appointment of Common Agency for Share Registry work, if Yes (Name & Address)	KFin Technologies Private Limited {formerly known as Karvy Fintech Private Limited} Selenium Building Tower-B, Plot No. 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddy, Telangana – 500032. Telephone : 040 -67162222 E-mail: elnward.ris@kfintech.com
25.	Any other detail that the Auditor / Company Secretary may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.)	Not Applicable

FOR AGARWAL S. & ASSOCIATES
Company Secretaries
ICSI Unique Code: P2003DE049100



Anuradha Jain
CS Anuradha Jain
Partner
ACS 36639
CP 14180

Place: New Delhi
Date: October 20, 2020
UDIN : A036639B001007741