

01<sup>st</sup> February, 2022

<p>Listing Department <b>National Stock Exchange of India Limited</b> Exchange Plaza, Bandra- Kurla Complex, Bandra (E), Mumbai 400051</p> <p><b>NSE Symbol: SPLIL</b></p>	<p>Listing Department <b>BSE Limited</b> Phirozee Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 001</p> <p><b>Scrip Code: 532651</b></p>
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**Subject- Compliance Certificate u/r 76 of SEBI (Depositories and Participants) Regulation, 2018 for quarter ended December 31, 2021**

Dear Sir/Madam,

As required under Regulation 76 of the SEBI (Depositories and Participants) Regulation, 2018, we have filed Share Capital Audit Report on 29th January, 2022 for the Quarter ending December 31, 2021.

There are some changes in point No. 23 of the certificate issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, The rectified details are as under:

CS Anuradha Jain, Partner  
Membership No. ACS 36639/CP No. 14180  
Agarwal S. & Associates  
Company Secretaries,  
D-427, 2nd Floor, PalamExtn. Ramphal Chowk, Sector 7, Dwarka, New Delhi-110075  
Telephone No. 011-45052182

We are unable to file the revised report on the portal. Rectified Report is attached herewith for your information and record.

Inconvenience caused is deeply regretted.

Truly Yours

For, **SPL Industries Limited**



*Vishal Srivastava*

Vishal Srivastava  
(Company Secretary &  
Compliance Officer)

**RECONCILIATION OF SHARE CAPITAL AUDIT**

1.	For the Quarter ended	<b>31<sup>ST</sup> December, 2021</b>				
2.	ISIN No.	<b>INE978G01016</b>				
3.	Face Value	<b>Equity Shares – Rs.10/- Each</b>				
4.	Name of the Company	<b>SPL Industries Limited</b>				
5.	Registered Office Address	<b>Office No. 202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, New Delhi- 110019</b>				
6.	Correspondence Address	<b>Plot No. 21 Sector 6, Faridabad – 121006, Haryana</b>				
7.	Telephone & Fax No(s)	<b>011-42427643; 0129-4282375</b>				
8.	E-mail Address	<b>cs@spllimited.com</b>				
9.	Names of the Stock Exchanges where the Company's Securities listed	<b>Equity Shares at National Stock Exchange of India Limited and BSE Limited</b>				
		<b>Number of Shares</b>			<b>% of Total Issued Capital</b>	
10.	Issued Capital	<b>2,90,00,004</b>			<b>100 %</b>	
11.	Listed Capital (Exchange-wise) (as per Company records)					
	A) BSE Limited, Mumbai	<b>2,90,00,004</b>			<b>100 %</b>	
	B) National Stock Exchange of India Limited, Mumbai	<b>2,90,00,004</b>			<b>100 %</b>	
12.	Held in Dematerialized Form in CDSL	<b>29,15,765</b>			<b>10.05%</b>	
13.	Held in Dematerialized Form in NSDL	<b>2,52,13,637</b>			<b>86.95%</b>	
14.	Physical	<b>8,70,602</b>			<b>3.00%</b>	
15.	Total number of Shares (12+13+14)	<b>2,90,00,004</b>			<b>100.00%</b>	
16.	Reason for difference, if any, between (10 & 11), (10 & 15), (11 & 15) <b>Not Applicable</b>					
17.	Certifying the details of changes in share capital during the quarter under consideration as per Table below: <b>Not Applicable</b>					
	<b>Particulars ***</b>	<b>No. of Shares</b>	<b>Applied / Not applied for listing</b>	<b>Listed on Stock Exchanges (specify names)</b>	<b>Whether intimated to CDSL</b>	<b>Whether intimated to NSDL</b>
						<b>In-principle approval pending for SE (specify names)</b>
	***Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify)					
18.	Register of Members is updated (Yes / No) If not, updated up to which date				<b>YES</b>	
19.	Reference of previous quarter with regards to excess				<b>Not Applicable</b>	

	dematerialized shares, if any.	
20.	Has the company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why?	<b>Not Applicable</b>
21.	Mentioned the total Number of requests, if any, confirmed after 21 days and the total Number of requests pending beyond 21 days with the reason for delay	
	<b>Total Number of DEMAT Requests</b>	<b>No. of Requests</b>
	<b>No. of Shares</b>	<b>Reason for Delay</b>
	Confirmed after 21 days	Nil
	Pending for more than 21 days	Nil
22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	CS Ashish Yadav (upto 05.01.2022) CS Vishal Srivastava (from 06.01.2022) Company Secretary Ph: - 0129 - 4282375
23.	Name, Address, Telephone & Fax Number and Registration No. of the Auditor	CS Anuradha Jain, Partner Membership No. ACS 36639/CP No. 14180 Agarwal S. & Associates, Company Secretaries, D-427, 2 <sup>nd</sup> Floor, PalamExtn., Ramphal Chowk, Sector 7, Dwarka, New Delhi-110075 Telephone No.: 011-45052182
24.	Appointment of Common Agency for Share Registry work, if Yes (Name & Address)	KFin Technologies Private Limited {formerly known as KarvyFintech Private Limited} Selenium Building Tower-B, Plot No. 31&32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddy, Telangana – 500032. Telephone : 040 –67162222 E-mail: elnward.ris@kfintech.com
25.	Any other detail that the Auditor / Company Secretary may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.)	<b>Not Applicable</b>

**FOR AGARWAL S. & ASSOCIATES**

Company Secretaries

ICSI Unique Code: P2003DE049100



*Anuradha*

**CS Anuradha Jain**  
Partner

**ACS: 36639**

**CP: 14180**

**Place: New Delhi**

**Date: January 18, 2022**

**UDIN: A036639C002200669**

29<sup>th</sup> January, 2022

<p>Listing Department <b>National Stock Exchange of India Limited</b> Exchange Plaza, Bandra- Kurla Complex, Bandra (E), Mumbai 400051</p> <p><b>NSE Symbol: SPLIL</b></p>	<p>Listing Department <b>BSE Limited</b> Phirozee Jeejeebhoy Towers, Dalal Street, Fort, Mumbai - 400 001</p> <p><b>Scrip Code: 532651</b></p>
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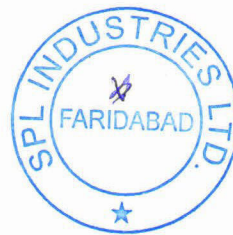
**Subject- Compliance Certificate u/r 76 of SEBI (Depositories and Participants) Regulation, 2018 for quarter ended December 31, 2021**

Dear Sir/Madam,

As required under Regulation 76 of the SEBI (Depositories and Participants) Regulation, 2018, we enclose here with certificate, issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, for the quarter ended 31<sup>st</sup> December, 2021, for your information and record.

Truly Yours

For, **SPL Industries Limited**



*Vishal Srivastava*

Vishal Srivastava  
(Company Secretary &  
Compliance Officer)

**RECONCILIATION OF SHARE CAPITAL AUDIT**

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2.	ISIN No.	<b>INE978G01016</b>				
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10.	Issued Capital	<b>2,90,00,004</b>			<b>100 %</b>	
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						<b>In-principle approval pending for SE (specify names)</b>
	***Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to specify)					
18.	Register of Members is updated (Yes / No) If not, updated up to which date				<b>YES</b>	
19.	Reference of previous quarter with regards to excess				<b>Not Applicable</b>	



	dematerialized shares, if any.	
20.	Has the company resolved the matter mentioned in point No. 19 above in the current quarter? If not, reason why?	<b>Not Applicable</b>
21.	Mentioned the total Number of requests, if any, confirmed after 21 days and the total Number of requests pending beyond 21 days with the reason for delay	
	<b>Total Number of DEMAT Requests</b>	<b>No. of Requests</b>
	<b>No. of Shares</b>	<b>Reason for Delay</b>
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22.	Name, Telephone & Fax No. of the Compliance Officer of the Company	CS Ashish Yadav (upto 05.01.2022) CS Vishal Srivastava (from 05.01.2022) Company Secretary Ph: - 0129 - 4282375
23.	Name, Address, Telephone & Fax Number and Registration No. of the Auditor	CS Sachin Agarwal, Partner Membership No. FCS 5774/CP No. 5910 Agarwal S. & Associates, Company Secretaries, D-427, 2 <sup>nd</sup> Floor, PalamExtn., Ramphal Chowk, Sector 7, Dwarka, New Delhi-110075 Telephone No.: 011-45052182
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25.	Any other detail that the Auditor / Company Secretary may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.)	<b>Not Applicable</b>

**FOR AGARWAL S. & ASSOCIATES**  
Company Secretaries  
ICSI Unique Code: P2003DE049100



*Anuradha*

**CS Anuradha Jain**  
Partner

ACS: 36639  
CP: 14180

Place: New Delhi  
Date: January 18, 2022  
UDIN: A036639C002200669

R:/KFIN/SPI/AUDIT/55A

DATE:15/01/2022

THE COMPANY SECRETARY  
SPL INDUSTRIES LIMITED  
202, IIND FLOOR  
VIKRAMADITYA TOWER  
ALAKNANDA MARKET  
KALKAJI, NEW DELHI  
110019

Dear Sir/Madam

This is in accordance with the Notification dated September 10, 2018 Issued by Ministry of Corporate Affairs (MCA) advising unlisted Public Companies for a quarterly Reconciliation of share capital Audit. We confirm as follows for the quarter ended 31/12/2021.

1. That the Total issued capital of the company is 29000004 Equity Shares of Rs.10/- each, out of which:

a) 353 Shareholders representing 870602 Equity Shares Constituting 3.00% of the subscribed and paid up capital are held in Physical Form.

b) 5173 Shareholders representing 25213637 Equity Shares Constituting 86.94% of the subscribed and paid up capital are held in Electronic Form through NSDL.

c) 7964 Shareholders representing 2915765 Equity Shares Constituting 10.05% of the subscribed and paid up capital are held in Electronic Form through CDSL.

2. We confirm that the register of members is being maintained in Electronic Form only and the same is up to date.

3. All the Dematerialisation requests received during the period under report were confirmed within 21 days.

Thanking You

Yours faithfully,  
for KFIN TECHNOLOGIES PRIVATE LIMITED



Suresh Babu D  
Manager

**KFin Technologies Private Limited**

(Formerly known as "Karvy Fintech Private Limited")

**Registered & Corporate Office**

Selenium Building, Tower-B, Plot No- 31 & 32, Financial District, Nanakramguda, Serilingampally, Hyderabad, Rangareddi, Telangana, India, 500032.

Ph : +91 40 6716 2222, 7961 1000 | <https://www.kfintech.com> | CIN : U72400TG2017PTC117649