SPL Industries Ltd.
A Three Star Export House

Date- 23rd Oct, 2019

Listing Department	Listing Department
National Stock Exchange of India Limited	**Bombay Stock Exchange Limited**
"Exchange Plaza"	1st Floor, P.J. Towers,
Bandra Kurla Complex	Dalal Street
Bandra (E), Mumbai- 400051	Mumbai- 400001
NSE SYMBOL - SPLIL	**SCRIP- 532651**

Subject: Compliance Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosures Requirements) Regulation, 2015 for the half year ended 30th September, 2019.
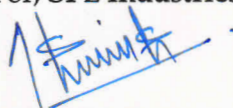
Dear Sir/Madam,

As required under Regulation 40(9) of the SEBI (Listing Obligations and Disclosures Requirements) Regulation, 2015, we enclose herewith a certificate under Regulation 40(9), issued by Registrar & Share Transfer Agent (Karvy Fintech Private Limited) along with certificate issued by M/s Agarwal S. & Associates, Practicing Company Secretaries, for the half year ended 30th September, 2019.

Kindly take it on record.

Thanking You

Truly Yours
For, **SPL Industries Limited**

Ashish Yadav
(Company Secretary)

To,
The Board of Directors
SPL Industries Limited
New Delhi.

Certificate under Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015 for the Half Year ended 30th September, 2019

We have examined all Share Transfer forms, Memorandum of Transfers, Registers, Files and other documents relating to **SPL Industries Limited** having its Registered Office at Office No-202, IInd Floor, Vikramaditya Tower, Alaknanda Market, Kalkaji, Delhi - 110019 as maintained by Karvy Fintech Private Limited, Registrar and Share Transfer Agents of the Company (RTA), for physical work & electronic connectivity) pertaining to transfer of equity shares of the Company for the period from **01st April, 2019 to 30th September, 2019** and based on compliance certificate/ information received from RTA, for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulation, 2015, we hereby certify that the Company has delivered during the half year ended on 30th September, 2019:

A) Share certificates relating to the transfer deeds lodged prior to 01st April, 2019 and re-lodged thereafter, during the period from 01st April, 2019 to 30th September, 2019, were entered within thirty days in the Memorandum of Transfers from the respective date of lodgment of each deed excepting those rejected on technical grounds.

B) Share Certificate(s) in respect of request(s) for issue of Duplicate /Split / Consolidation and Sub-division etc., as applicable, during the period from 1st day of April, 2019 to 30th day of September, 2019 have been issued within stipulated time as prescribed under Listing Regulations.

For Agarwal S. & Associates
Company Secretaries

CS Anuradha Jain
Partner
ACS No.: 36639
C.P No.: 14180

Date: 19.10.2019
Place: New Delhi
UDIN: **A036639A000122516**

R:/KFPL/SPI/TRF/40(9)
DATE:14/10/2019

SPL INDUSTRIES LIMITED
202, IIND FLOOR
VIKRAMADITYA TOWER
ALAKNANDA MARKET
KALKAJI, NEW DELHI
110019

Dear Sir/Madam

Please find enclosed herewith statement of transfers with regard to Clause 40(9) of the LISTING AGREEMENT during the halfyear ended 30/09/2019. You are requested to file the same immediately with Stock Exchange where your Company's Shares are listed

Thanking You

Yours faithfully,
for KARVY FINTECH PRIVATE LIMITED

G RAMESH DESAI
Manager

SPL INDUSTRIES LIMITED

TRANSFERS PROCESSED/REJECTED/NOL FROM   01/04/2019 TO 30/09/2019

SNO	MIN.TNO	MAX.TNO	RECEIVED			PROCESSED			PROC DATE	APP DATE	REJECTIONS			NOL		
			CASES	SHARES	CERT (S)	CASES	SHARES	CERT (S)			CASES	SHARES	CERT (S)	CASES	SHARES	CERT (S)
	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
	TOTAL:		0	0	0	0	0	0			0	0	0	0	0	0